

SMART is working with a company that anticipates—and delivers.

SMART IS WORKING WITH ASPIRE.



SMART is who we are

Aspire is a company that delivers smart retirement solutions. A company that is the leading service provider for all retirement plan types. A company that provides choices, flexibility and customization.

Aspire is a proactive company—setting the benchmark for the industry by setting standards. A company that put a fee disclosure program in place before mandated—exceeding requirements. A company that knows transparency is vital—and to be fair, fees shouldn't be tied to account balance or plan size.

Aspire is a company that empowers industry professionals by linking them with smart, results-driven tools that provide value and grow business. A company that protects participants from limited choices and hidden fees. A company that runs on a proprietary, open-architecture platform.

SMART is serving all key stakeholders

First and foremost, we support our strategic distribution partners—TPAs, advisors, plan sponsors, broker dealers, money managers, custodians, and strategists—by automating processes, delivering the latest technology, providing branded solutions, communicating on all levels and offering flexibility to make wise, cost-effective investment choices.

First and foremost, we are an advocate for retirement plan participants—ensuring access to all information, cost-effective transparent pricing, conflict-free investments and ongoing education.

That's right—we serve all stakeholders with the same high level of commitment—implementing the smartest retirement solutions to achieve goals across the board. It's a smart approach.

SMART is what sets us apart

Only Aspire provides InvestLinkSM—our smart open-architecture platform designed to link people, investments and technology. It links all parties through a central communications hub. It links to over 25,000 investment options. It links to private-label branding options. Each smart retirement solution is tailored to the needs of our customers, participants and plan types.

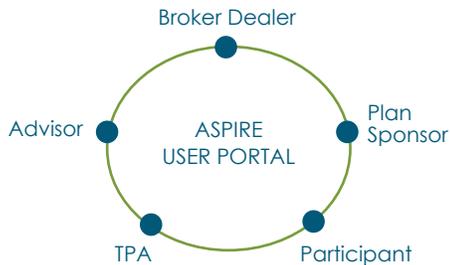
Only Aspire offers Definitive DisclosureSM—our smart industry-leading program that facilitates full fee disclosure reporting for all stakeholders.

Only Aspire delivers InvestDesign CenterSM—our password-protected Sales portal where distribution partners can request a proposal, access sales materials and forms, link to sales and service teams, customize choices, and seamlessly integrate private-label branded solutions.

SMART is secure and confidential

We implement best-in-class, smart industry standards to protect confidential client information, including data encryption and network monitoring. All records are backed up daily and archived, and all servers are located in a hosting facility with state-of-the-art, 24-hour systems to prevent unauthorized access.

Login to our proprietary InvestLink system is through one of five online user portals customized according to customer brand identity, linking users to manage plan-specific information, communicate with all stakeholders, and view and print reports—to keep everyone smart. Access is based on function, security level and administrative profile, delivering a unique, secure online experience.



TPA Portal delivers total online access to plan management.

Advisor Portal allows online access for all account management functions.

Broker Dealer Portal provides oversight of advisors and their accounts.

Plan Sponsor Portal affords the flexibility to streamline administrative processes.

Participant Portal gives powerful access for individuals to manage their retirement accounts.

SMART is know-how

Our experts offer decades of proven experience and knowledge in retirement plan administration and management, product development, and information technology—backed by our CEFEX Certification for Recordkeepers for adherence to industry best practices, annual SSAE 16 – SOC 1 Type II successful audit, and memberships in ASPPA, NAPA, NIPA, NTSAA, and NAGDA.

We know the industry is demanding smart retirement solutions—and we know how to deliver.

We know how to help our customers with vendor management—streamlining the process of keeping all stakeholders connected by providing a single link to our customers, which links to all other stakeholders who are linked to Aspire. It's a smart retirement solution because Aspire manages all stakeholders, simplifies the process for our customers, and keeps all key parties linked in.

And we know it's smart to build strong working relationships—it's the core focus of our high-quality dedicated customer service approach delivered through our single-point-of-contact Account Management team and our professionally trained Retirement Call Center Specialists. We work smart—we work to drive competitive advantage and enable greater outcomes.

SMART is the new retirement—get smart

What makes Aspire different... makes our customers successful:

- Conflict-free open architecture
- Solutions for all plan types independent of average account balance or plan size
- Over 25,000 investment options including ETFs, collective trusts, stable value funds, and any share class of mutual funds
- Private-label branding
- Cost-effective transparent pricing



Aspire—Delivering Smart Retirement Solutions

Aspire Financial Services, LLC is a leading service provider of smart retirement solutions. Serving the industry for over 12 years with a conflict-free, open-architecture retirement planning management system, Aspire offers the most extensive capabilities nationwide for all plan types [401(k), 403(b), 457, IRA, and Defined Benefit] and all retirement plan stakeholders. Aspire provides a best-in-class technology-enabled portfolio of pre-defined or highly customizable smart retirement solutions with private-label branding options through its proprietary technology platform and strategic partnerships. Aspire links the retirement planning community together to deliver smart solutions for any plan type independent of average account balance or plan size—enabling our customers with competitive advantage through a cost-effective transparent pricing structure that increases profit margins. Aspire's service model decreases the administrative burden, while enhancing the customer experience. To learn more about Aspire's unique capabilities that deliver smart retirement solutions, visit www.aspireonline.com or call 866.634.5873.

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